

10/587355

IAP5 Rec'd PCT/PTO 26 JUL 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Ryuichiro TAKAMATSU et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_1187A
Filed July 26, 2006 :

PRINTING APPARATUS, AND FIRMWARE
UPDATING METHOD THEREFOR
[Corresponding to PCT/JP2005/009930
Filed May 31, 2005]

THE COMMISSIONER HAS ADVISED
THAT THIS APPLICATION IS IN THE
PENDING STATUS OF A PENDING
APPLICATION NO. 2006-1187A

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____;
and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

ATTACHMENT "D"

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, or
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 2,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- EP 1 491992, WO 03/83639 and U.S. Patent Publication Application No. 2005/0158100 correspond to JP 2004-5454; and**
- U.S. Patent Publication Application No. 2004/0062166 corresponds to JP 2004-127386.**
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Ryuichiro TAKAMATSU et al.

By 

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July 26, 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 26, 2006

ATTY DOCKET NO.
2006_1187ASERIAL NO.
NEWAPPLICANT
Ryuichiro TAKAMATSU et al.FILING DATE
July 26, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/S.C./	AA	2005/0158100	7/2005	Yamaguchi et al.			
/S.C./	AB	2004/0062166	4/2004	Tanimukai et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/S.C./	AJ	2004-5454	1/2004	JP			Abstract
/S.C./	AK	1 491 992	12/2004	EP			
/S.C./	AL	03/083639	10/2003	WO			
/S.C./	AM	10-289101	10/1998	JP			Abstract
/S.C./	AN	2004-127386	4/2004	JP			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

/Sunil Chacko/

DATE CONSIDERED

06/03/2009